



# Lepton-flavour-non-universal measurements from LHCb

Carla Marin

on behalf of the LHCb collaboration

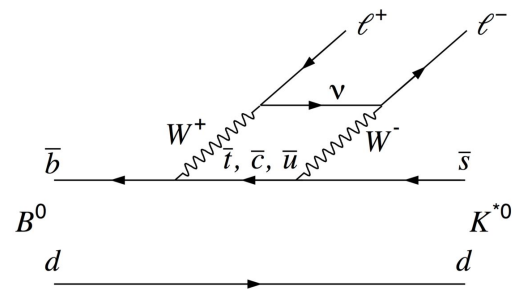
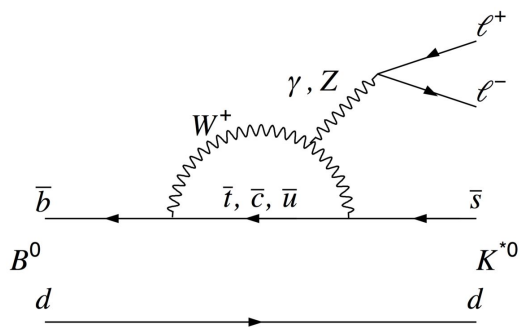
Lepton Photon, 11-01-2021, Manchester, UK

in  $b \rightarrow sll$  decays.  
See [G. Wormser talk](#)  
for  $b \rightarrow clv$



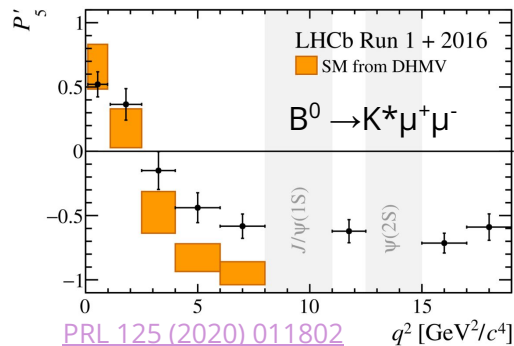
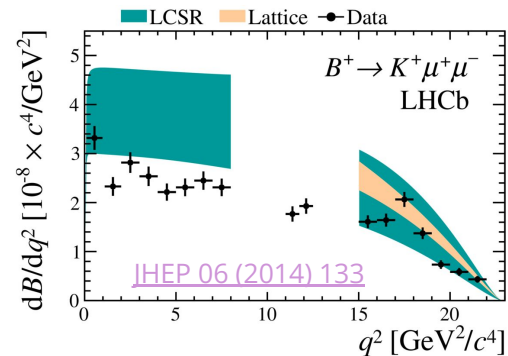
# Why rare $b \rightarrow sll$ decays?

- FCNC sensitive to indirect effects of New Physics (NP) in loops
  - branching fractions, angular distributions, etc.
- Access to much larger scales than direct searches
- Tests of couplings to 3rd generation b-quarks

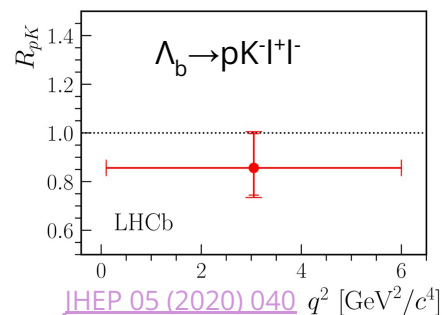
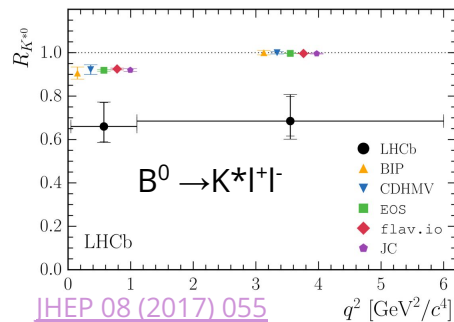
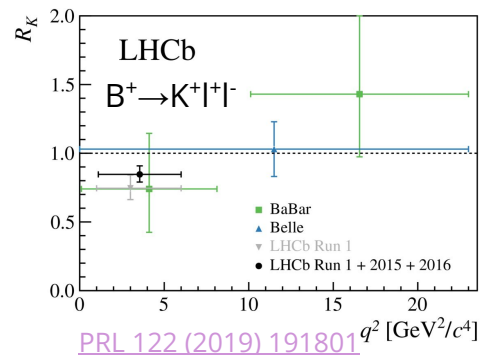


# Intriguing deviations in rare $b \rightarrow sll$ decays

Differential BR and angular distributions



Lepton Flavour Universality tests

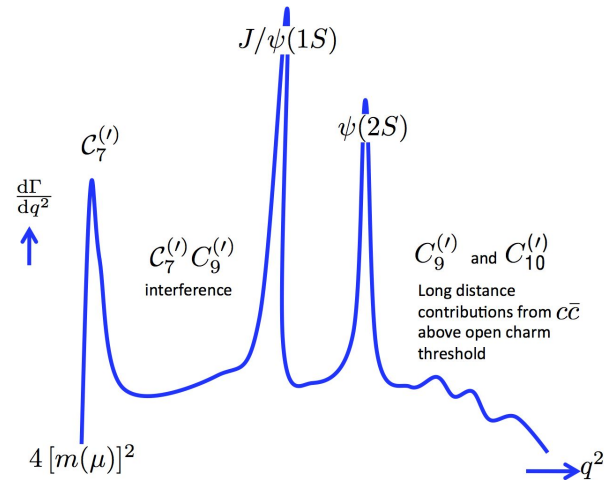


# Effective Hamiltonian

Model independent description in effective field theory [[Buchalla et al.](#)]. Complete basis of 4-body operators contributing to different final states:

$$H_{\text{eff}} \propto V_{tb} V_{ts}^* \sum_i (C_i \mathcal{O}_i + C'_i \mathcal{O}'_i)$$

$$\begin{aligned} O_7^{(\prime)} &\propto (\bar{s} \sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu} \\ O_9^{(\prime)} &\propto (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{l} \gamma_\mu l) \\ O_{10}^{(\prime)} &\propto (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{l} \gamma_\mu \gamma_5 l) \\ O_S^{(\prime)} &\propto (\bar{s} P_{L(R)} b) (\bar{l} l) \\ O_P^{(\prime)} &\propto (\bar{s} P_{L(R)} b) (\bar{l} \gamma_5 l) \end{aligned}$$



# Lepton Universality tests

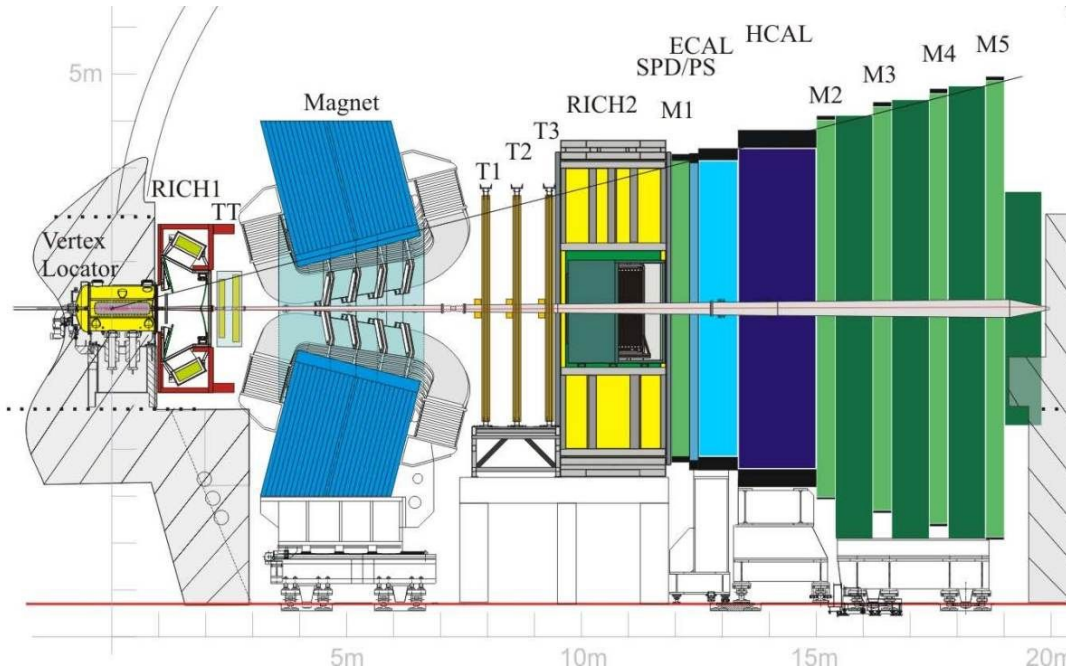
Leptons of different species couple identically to electroweak bosons in SM  
→ Lepton Flavour Universality (LFU)

Measure **ratio** of same b → sll process with **muons and electrons** in final state:

$$R_H \equiv \frac{\int \frac{d\Gamma(B \rightarrow H \mu^+ \mu^-)}{dq^2} dq^2}{\int \frac{d\Gamma(B \rightarrow H e^+ e^-)}{dq^2} dq^2} \quad H = K^+, K^{0*}, K^0_S, K^{0+} \dots$$

Hadronic uncertainties cancel in ratio → very **clean theory prediction**

# Experimental setup



$$\Delta p / p = 0.5 - 1.0\%$$

$$\Delta IP = (15 + 29/p_T[\text{GeV}]) \mu\text{m}$$

$$\Delta E/E_{\text{ECAL}} = 1\% + 10\% / \sqrt{E[\text{GeV}]}$$

Electron ID ~90% for ~5%  $h \rightarrow e^\pm$   
mis-id probability

Muon ID ~ 97% for 1-3%  $\pi \rightarrow \mu$   
mis-id probability

# How do we measure LFU at LHCb?

In the SM:

$$R_H = \frac{BR(B \rightarrow H \mu^+ \mu^-)}{BR(B \rightarrow H e^+ e^-)} = 1$$

$H = K^+, K^{0*}, K_S^0, K^{0+} \dots$

Experimentally:

$$R_H = \underbrace{\frac{N(B \rightarrow H \mu^+ \mu^-)}{N(B \rightarrow H e^+ e^-)}}_{\text{from mass fit}} \times \underbrace{\frac{\epsilon(B \rightarrow H e^+ e^-)}{\epsilon(B \rightarrow H \mu^+ \mu^-)}}_{\text{from MC and calibration samples}}$$

Exploit the well tested LFU in  $J/\psi$  modes

$$r_{J/\psi} = \frac{BR(B \rightarrow H J/\psi(\mu^+ \mu^-))}{BR(B \rightarrow H J/\psi(e^+ e^-))} = 1$$

- as **stringent cross-check**
- to build **double ratio** at LHCb  $\rightarrow$  cancel systematic effects

$$R_H = \frac{\frac{N(B \rightarrow H \mu^+ \mu^-)}{N(B \rightarrow H J/\psi(\mu^+ \mu^-))}}{\frac{N(B \rightarrow H e^+ e^-)}{N(B \rightarrow H J/\psi(e^+ e^-))}} \times \frac{\frac{\epsilon(B \rightarrow H e^+ e^-)}{\epsilon(B \rightarrow H J/\psi(e^+ e^-))}}{\frac{\epsilon(B \rightarrow H \mu^+ \mu^-)}{\epsilon(B \rightarrow H J/\psi(\mu^+ \mu^-))}}$$

# $b \rightarrow sl\ell$ with electrons at LHCb

## Hardware trigger

Larger ECAL occupancy  $\rightarrow$  tighter thresholds for electrons than muons:

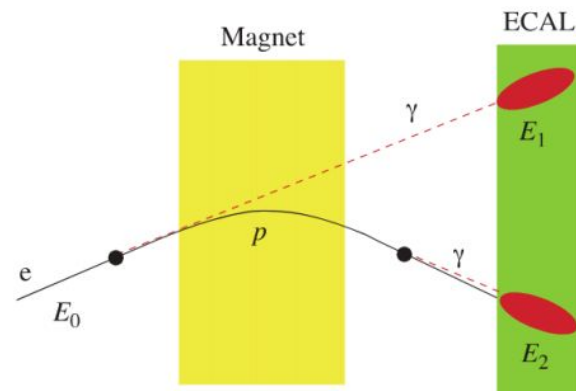
- $e p_T > 2400$  (2700) MeV in 2016 (2012)
- $\mu p_T > 1800$  (1700) MeV in 2016 (2012)

[[LHCb-PUB-2014-046](#), [2019 JINST 14 P04013](#)]

## Interaction with detector material

Electrons radiate much more Bremsstrahlung

Recovery procedure in place



- miss some photons and add fake ones
  - ECAL resolution worse than tracking
- $\rightarrow$  worse mass resolution for electron modes



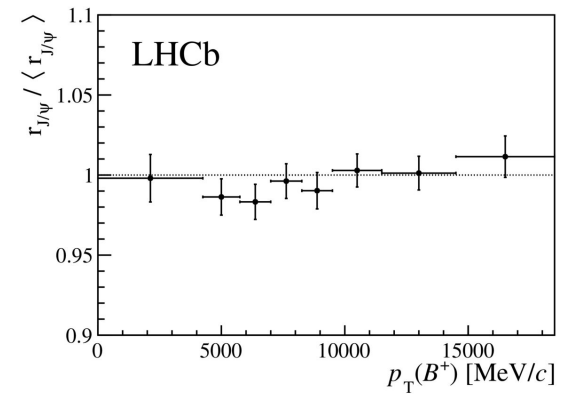
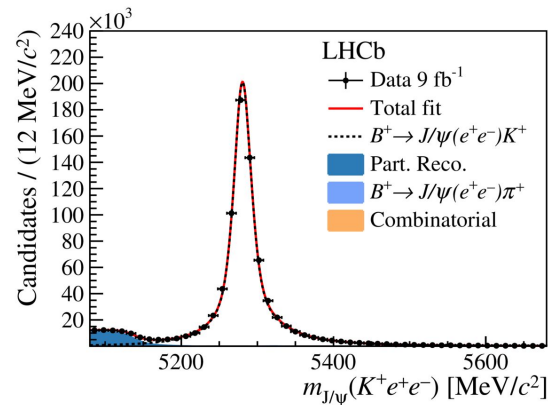
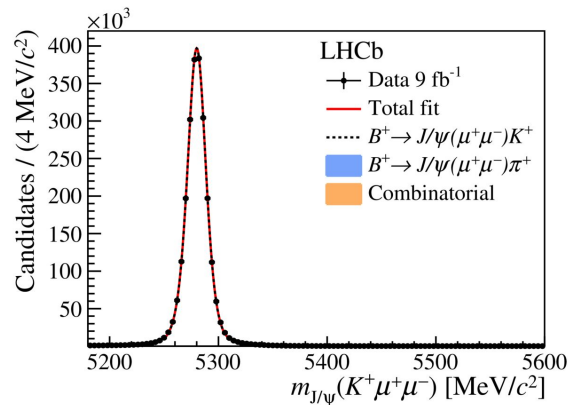
# $R_K$ with full LHCb data

Stringent cross-checks with  $B^+ \rightarrow J/\psi K^+$  and  $B^+ \rightarrow \psi(2S) K^+$  decays

- shows that even absolute electron and muon efficiencies are understood

$$r_{J/\psi} = 0.981 \pm 0.020$$

$$R_{\psi(2S)} = 0.997 \pm 0.011 \quad (\text{double-ratio})$$



constraint  $m(\ell\ell)$  to  $J/\psi$  mass  $\rightarrow$  strong improvement of mass resolution

# $R_K$ with full LHCb data

Detailed study of systematic uncertainties:

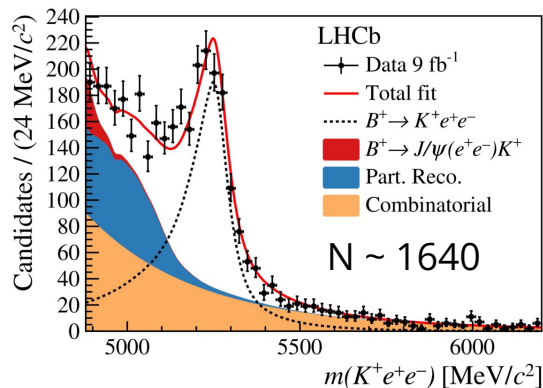
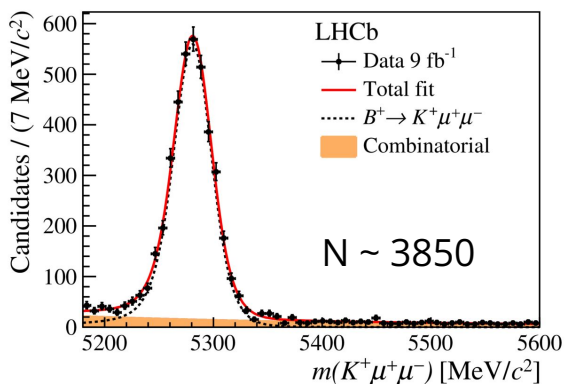
Fit model	1%
Calibration sample size	1%
Trigger, PID and B kinematics calibration	< 0.1%
$q^2$ distribution and resolution	negligible

Effect of simulation corrections is small thanks to the double ratio:

- $R_K: (+3 \pm 1)\%$
- $R_{J/\psi}: 20\%$

# $R_K$ with full LHCb data

Measurement in  $1.1 < q^2 < 6.0 \text{ GeV}^2$  with Run 1+2 datasets  $\rightarrow$  x2 b decays  
 $R_K$  from simultaneous fit to  $B^+ \rightarrow K^+\mu^+\mu^-$  and  $B^+ \rightarrow K^+e^+e^-$  candidates



$$R_K(1.1 < q^2 < 6.0 \text{ GeV}^2/c^4) = 0.846^{+0.042}_{-0.039} {}^{+0.013}_{-0.012}$$

most precise  $R_K$   
 measurement !

Consistent with SM at 0.10% ( $3.1\sigma$ )  $\rightarrow$  evidence for the breaking of LU in  $b \rightarrow sll$  decays 11

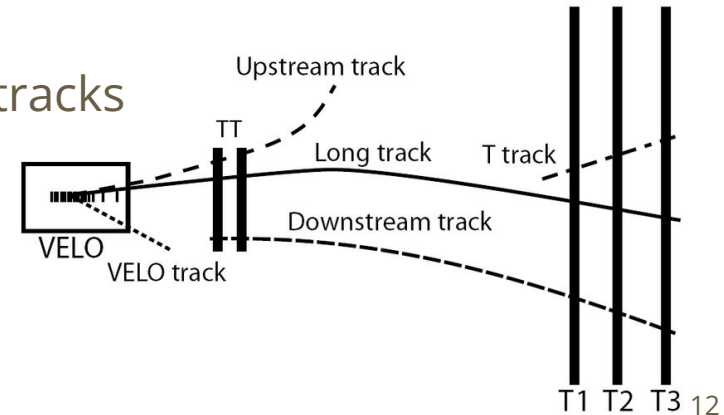
# $R_K$ and $R_{K^*}$ with neutral Kaons

Recent measurement of isospin partners  $B^0 \rightarrow K_S^0 l^+ l^-$  and  $B^+ \rightarrow K^{*+} l^+ l^-$

- only explored by Belle/BaBar so far, more challenging at LHCb
- no unambiguous observation of electron modes by any experiment

Use full dataset and follow  $R_K$  strategy, with some particularities:

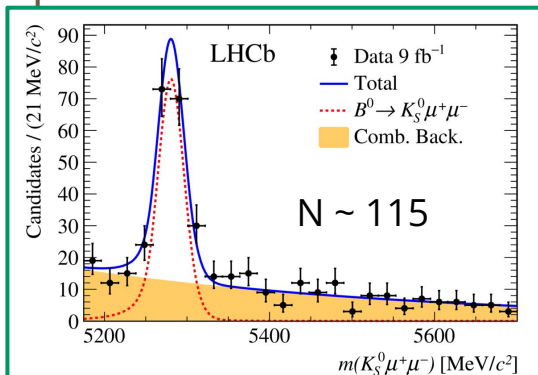
- reconstruct  $K_S^0 \rightarrow \pi^+ \pi^-$  and  $K^{*+} \rightarrow K_S^0 \pi^+$
- reconstruct  $K_S^0$  from long and downstream tracks
- still smaller yields due to long-lived  $K_S^0$



See [CERN seminar](#) for further details

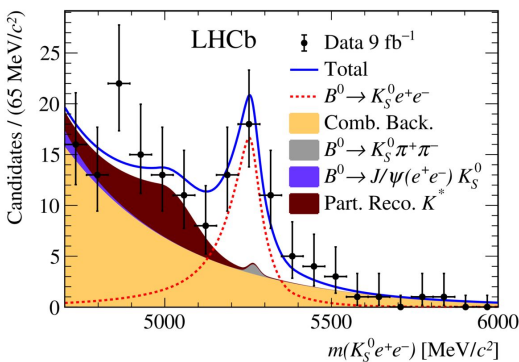
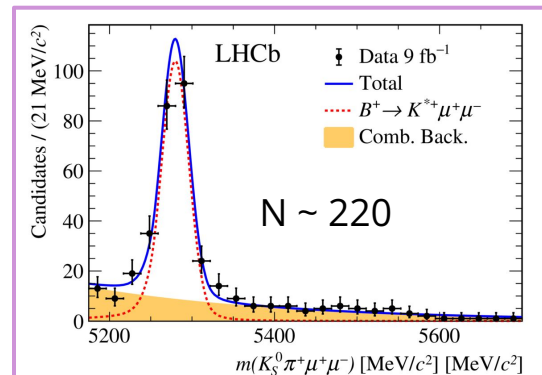
# $R_K$ and $R_{K^*}$ with neutral Kaons

Separate fits to  $B^0$  and  $B^+$  decays, simultaneous for muons and electrons

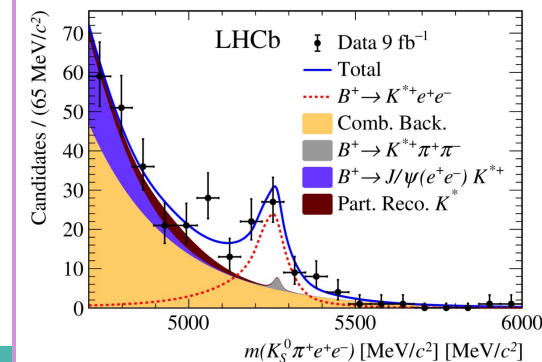


$$R_{K_S^0} = 0.66_{-0.14}^{+0.20} (\text{stat.})_{-0.04}^{+0.02} (\text{syst.})$$

$$R_{K^{*+}} = 0.70_{-0.13}^{+0.18} (\text{stat.})_{-0.04}^{+0.03} (\text{syst.})$$

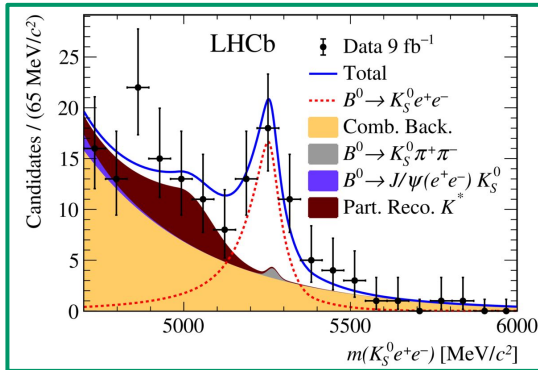


Most precise results and consistent with SM at 1.5 and 1.4 $\sigma$



# $R_K$ and $R_{K^*}$ with neutral Kaons

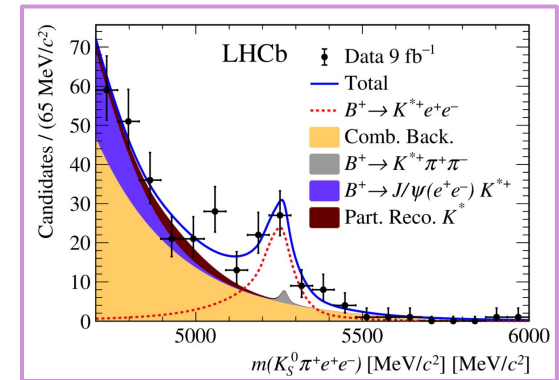
Separate fits to  $B^0$  and  $B^+$  decays, simultaneous for muons and electrons



Electron mode significance of **5.3** and **6.0** $\sigma$   $\rightarrow$  1st observation

$e^\pm$  misld backgrounds are included in the fits

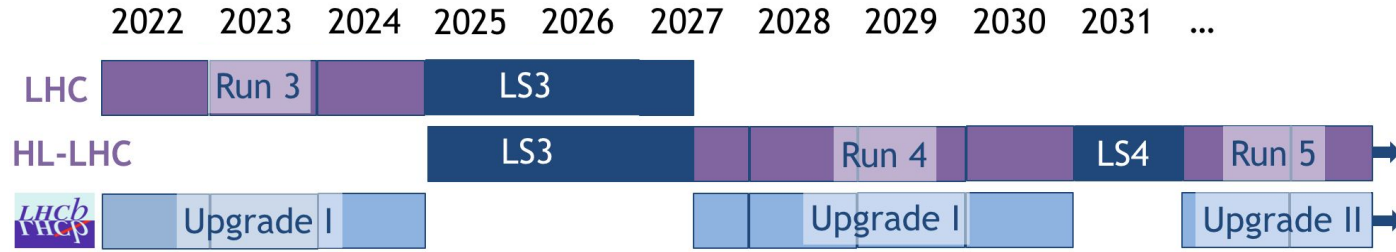
$\text{dB}/\text{dq}^2$  measured for first time in electron modes, in  $q^2$  bins **[1.1, 6.0]** and **[0.045, 6.0]**  $\text{GeV}^2/c^4$



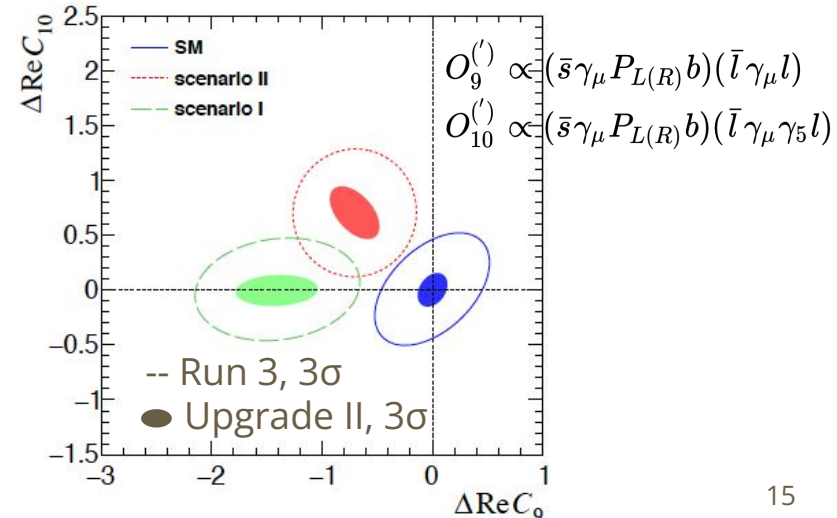
$$\frac{\text{dB}(B^0 \rightarrow K^0 e^+ e^-)}{\text{dq}^2} = (2.6 \pm 0.6 \text{ (stat.)} \pm 0.1 \text{ (syst.)}) \times 10^{-8} \text{ GeV}^{-2} c^4$$

$$\frac{\text{dB}(B^+ \rightarrow K^{*+} e^+ e^-)}{\text{dq}^2} = (9.2_{-1.8}^{+1.9} \text{ (stat.)}_{-0.6}^{+0.8} \text{ (syst.)}) \times 10^{-8} \text{ GeV}^{-2} c^4$$

# Future prospects for LFU tests at LHCb



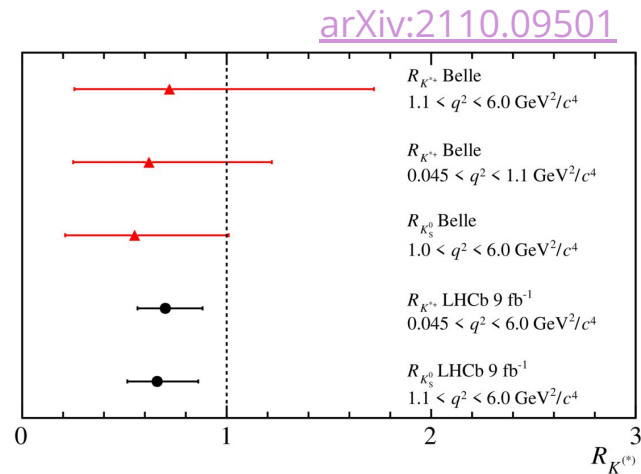
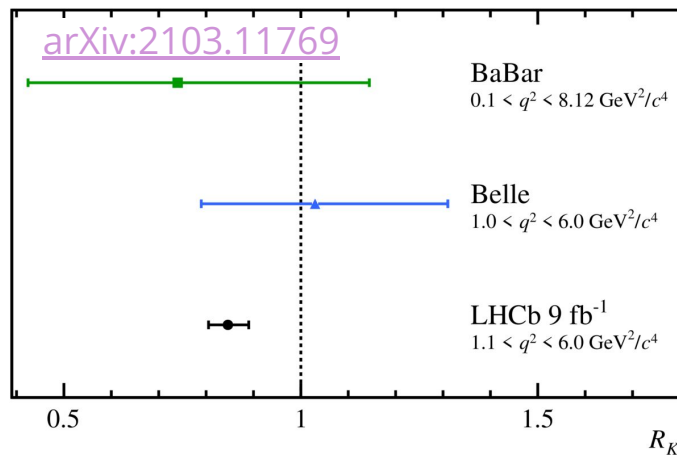
$R_X$ precision	9 fb <sup>-1</sup>	Run 3 23 fb <sup>-1</sup>	Run 4 50 fb <sup>-1</sup>	Upgrade II 300 fb <sup>-1</sup>
$R_K$	0.043	0.025	0.017	0.007
$R_{K^*0}$	0.052	0.031	0.020	0.008
$R_\phi$	0.130	0.076	0.050	0.020
$R_{pK}$	0.105	0.061	0.041	0.016
$R_\pi$	0.302	0.176	0.117	0.047



# Summary & conclusions

See talk by [D. van Dyk](#) and recent [Anomaly WS](#) for interpretation of results

Rare  $b \rightarrow sll$  decays provide stringent tests of NP  
Recent results hint at **breaking of LFU** in  $b \rightarrow sll$

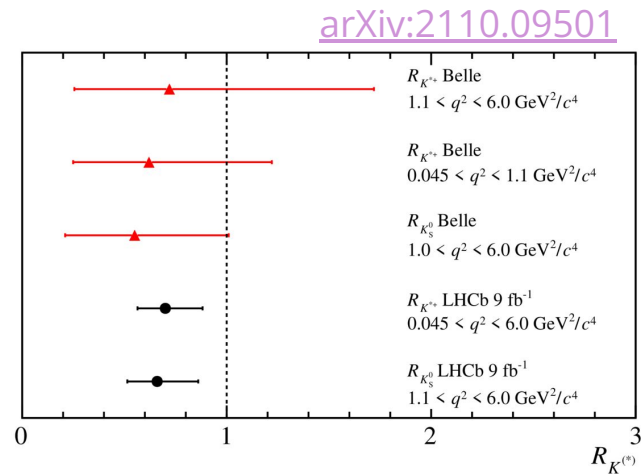
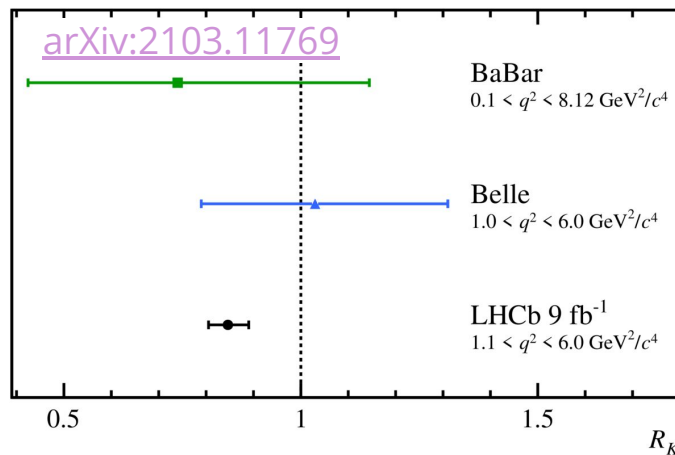




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Rare  $b \rightarrow sll$  decays provide stringent tests of NP  
Recent results hint at **breaking of LFU** in  $b \rightarrow sll$



Stay tuned!

**Thanks for the attention**

**Questions?**

**Comments?**

# BACK-UP

# LHCb hardware trigger for electrons

Larger ECAL occupancy → tighter thresholds for electrons:

- $e p_T > 2700/2400$  MeV in 2012/2016
- $\mu p_T > 1700/1800$  MeV in 2012/2016

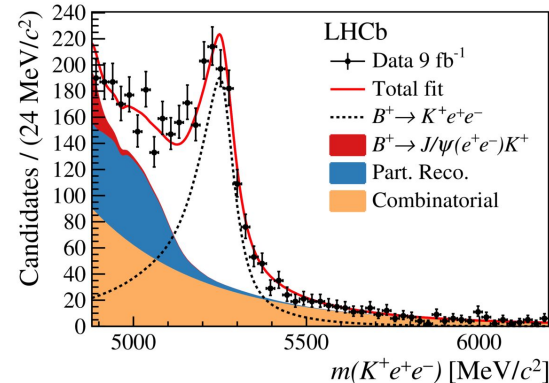
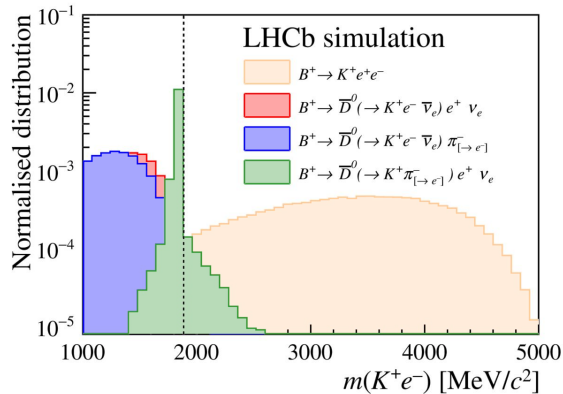
[[LHCb-PUB-2014-046](#), [2019 JINST 14 P04013](#)]

Mitigate including hadron trigger and events Triggered Independently of the Signal (TIS)



# $R_K$ with full LHCb data

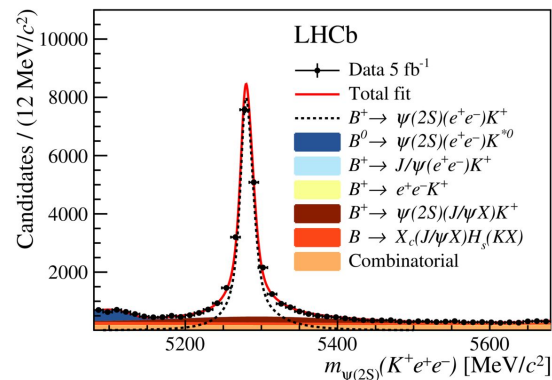
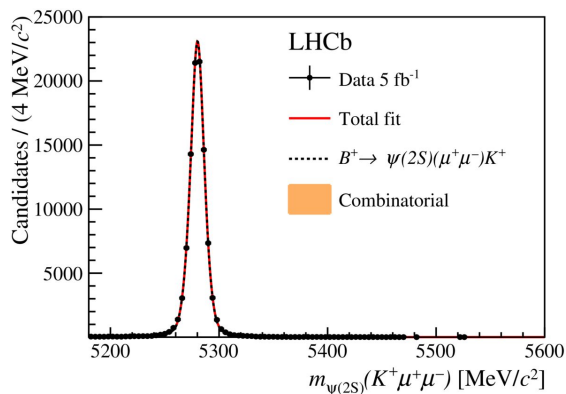
Cannot apply  $J/\psi$  mass constraint to rare mode  $\rightarrow$  worse resolution  $\rightarrow$  larger backgrounds for electron mode. Dedicated vetoes to minimise them.



# $R_{\psi(2S)}$ cross-check

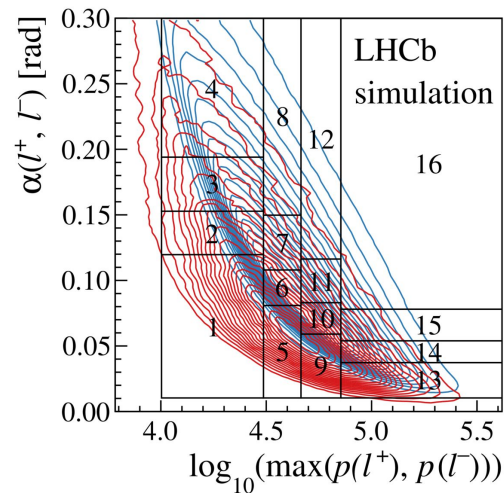
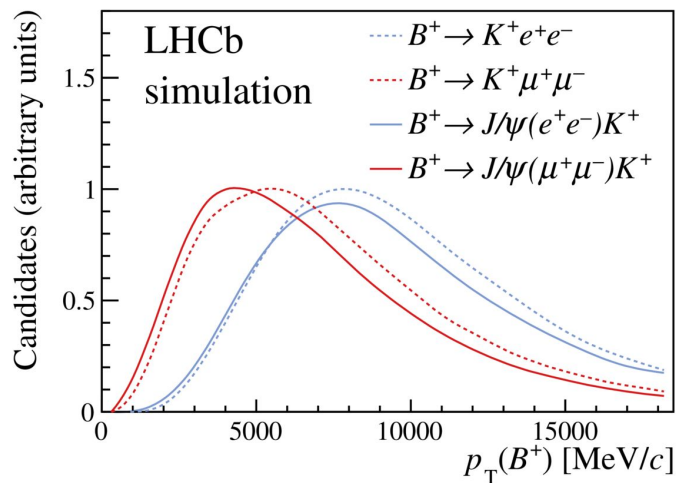
Stringent cross-checks with  $B^+ \rightarrow J/\psi K^+$  and  $B^+ \rightarrow \psi(2S) K^+$  decays

$$R_{\psi(2S)} = 0.997 \pm 0.011 \quad (\text{double-ratio})$$



Constraint  $m(\ell\ell)$  to  $J/\psi$  or  $\psi(2S)$  mass  $\rightarrow$  strong improvement of mass resolution

# $R_K: r_{J/\psi}$ cross-checks



# $R_K$ : significance

